FORM PTO-1390

U.S. Department of Commerce Patent and Trademark Office

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

Attorney's Docket No.

2732-160

Analication No. ((I known, see 37 CFR 1.5)
10/523696

INTERNATIONAL APPLICATION NO. PCT/EP03/08668

INTERNATIONAL FILING DATE August 5, 2003

PRIORITY DATE CLAIMED August 6, 2002

TITLE OF INVENTION

DEVICE AND METHOD FOR ALIGNING BANK NOTES

APPLICANT(S) FOR DO/EO/US

Gerhard SCHINZEL-REINER, Frank WERNER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371
- 2. | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. [] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. [X] The US has been elected (Article 31).
- 5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. [X] is attached hereto (required only if not communicated by the International Bureau).
 - b. [] has been communicated by the International Bureau.
 - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6. [X] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. [X] is attached hereto.
 - b. [] has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. [] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. [] are attached hereto (required only if not communicated by the International Bureau).
 - b. [] have been communicated by the International Bureau.
 - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
 - d. [] have not been made and will not be made.
- 8. [] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. [] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. [] An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

ITEMS 11. TO 20. below concern other document(s) or information included:

- 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. [] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [X] A preliminary amendment w/Substitute Specification (marked-up and clean versions).
- 14. [X] An Application Data Sheet under 37 CFR 1.76.
- 15. [] A substitute specification.
- 16. [] A power of attorney and/or address letter.
- 17. [] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825
- 18. A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. [X] A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. [X] Other items or information: Front Page of WO 04/014768 A1, ISR, IPER w/translation, PCT Request

U.S. APPLICATION TO Miles of CFR 150) 9 6 INTERNATIONAL APPLICATION NO. PCT/EP03/08668			ATTORNEY DOCKET NO. 2732-160		
21. [X] The following f	ees are submitted:			CALCULATIONS	PTO USE ONLY
Basic National Fee					
Examination Fee					1 1 1 7
Search Fee					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$900.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
Claims	Number Filed	Number Extra	Rate		
Total Claims	14-20 =		X \$50.00	\$	
Independent Claims	2 - 3 =		X \$200.00	\$	
Multiple dependent claim(s) (if applicable) + \$360.00				\$	
TOTAL OF ABOVE CALCULATIONS =				\$	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$900.00	
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	•
TOTAL NATIONAL FEE =				\$9000	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	
TOTAL FEES ENCLOSED =				\$.	
				Amount to be refunded	\$
				charged	\$900.00
 a. A check in the amount of \$ to cover the above fees is enclosed. b. X Please charge my Deposit Account No. 02-2135 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2135. A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. 					
SEND ALL CORRESPONDENCE TO:					
Customer No. 6449 Signature					
Rothwell, Figg, Ernst & Man 1425 K St., N.W.	beck .		George R. Repper Name		
Washington, D.C. 20005 Phone: 202/783-6040			31,414 Registration Numb	er	